

# Characteristic distributions on 4-dimensional almost complex manifolds

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## Abstract

In this paper the Nijenhuis tensor characteristic distributions on a non-integrable four-dimensional almost complex manifold is investigated for integrability, singularities and equivalence.

## Introduction

For a non-integrable four-dimensional almost complex manifold we will canonically define a distribution  $\Pi^2$  by the Nijenhuis tensor  $N_J$ . In §1 we complete the description [K1] of invariants of an almost complex structure in dimension four, using this distribution. In §2-§3 we describe singularities of  $\Pi^2$ . We show they are standard if our field of planes is considered as a distribution, but they become quite specific if it is considered as a differential system.

In §4-5 we study moduli and hyperbolicity of the germ of a neighborhood of a pseudoholomorphic curve. §6 is devoted to a geometric meaning of the integrability of the Nijenhuis tensor characteristic distribution  $\Pi^2$  and its relation to a question of V. Arnold.

In [HH] Hirzebruch and Hopf proved the following topological result: If a 4-dimensional manifold admits a rank 2 distribution, it admits an almost complex structure as well. Moreover if the manifold admits two almost complex structures, defining opposite orientations, then it admits a rank 2 distribution.

We associate to a non-integrable almost complex structure a rank 2 distribution, realizing the above topological correspondence (to one side) canonically on the differential level. Note that any almost complex structure on a 4-dimensional manifold can be perturbed to be non-integrable outside a discrete set.

## 1. Local classification of almost complex structures in dimension 4

Let  $(M, J \in \text{Aut}(TM))$  be an almost complex manifold of dimension 4,  $J^2 = -1$ . Its Nijenhuis tensor is the following  $(2, 1)$ -tensor

$$N_J \in \Lambda^2 T^*M \otimes TM, \quad N_J(\xi, \eta) = [J\xi, J\eta] - J[J\xi, \eta] - J[\xi, J\eta] - [\xi, \eta]. \quad (1)$$

Integrability of  $J$  is expressed via it as  $N_J = 0$  ([NW]).

This tensor satisfies the property  $N_J(J\xi, \eta) = N_J(\xi, J\eta) = -JN_J(\xi, \eta)$  and so can be considered as an antilinear map  $N_J : \Lambda^2\mathbb{C}^2 \rightarrow \mathbb{C}^2$ ,  $\mathbb{C}^2 = (T_xM^4, J)$ . The image is invariant under  $J$  and if  $N_J \neq 0$  it is a complex line  $\mathbb{C} \subset \mathbb{C}^2$ .

Thus in the domain, where the structure  $J$  is non-integrable, a canonical distribution is defined:

**Definition 1.** *Let us call  $\Pi^2 = \text{Im } N_J \subset TM$  the Nijenhuis tensor characteristic distribution on a 4-dimensional almost complex manifold  $(M^4, J)$ .*

This distribution  $\Pi^2$  is in general situation nonintegrable. Therefore it has a nontrivial derivative  $\Pi^3 = \partial\Pi^2$ , which is defined as the differential system with  $C^\infty(M)$ -module of sections  $\mathcal{P}_3 = C^\infty(\Pi^3)$  generated by the self-commutator of the submodule  $\mathcal{P}_2 = C^\infty(\Pi^2) \subset \mathcal{D}(M)$ :  $\mathcal{P}_3 = [\mathcal{P}_2, \mathcal{P}_2]$ .  $\Pi^3$  is not a distribution everywhere and its singularities form a stratified submanifold  $\Sigma_1^2$  of codim = 2.

The distribution  $\Pi^3$  on  $M \setminus \Sigma_1^2$  is generically nonintegrable, so that  $\partial\Pi^3 = TM$  (or  $[\mathcal{P}_2, \mathcal{P}_3] = \mathcal{D}(M)$ ) outside a stratified submanifold  $\Sigma_2^2$  of codim = 2.

If  $x \notin \Sigma_1^2$ , then  $\Pi_x^2 \subset \Pi_x^3$  has a transversal measure. In fact since the  $J$ -antilinear isomorphism  $N_J(\cdot, \xi_3) : \Pi_x^2 \rightarrow \Pi_x^2$  is orientation reversing, there exist vectors  $\xi_1, \xi_2 \in \Pi_x^2$ ,  $\xi_3 \in \Pi_x^3 \setminus \Pi_x^2$  such that  $N_J(\xi_1, \xi_3) = \xi_1$ ,  $N_J(\xi_2, \xi_3) = -\xi_2$ . These  $\xi_1, \xi_2$  are defined up to multiplication by a constant, while  $\xi_3 \pmod{\Pi_x^2}$  is defined up to multiplication by  $\pm 1$ . Therefore  $\Pi^3/\Pi^2$  is normed. By a similar reason  $T_xM/\Pi_x^3$  is normed outside  $\Sigma_1^2$  via the vector  $\xi_4 = J\xi_3$ .

Note that  $\Pi_x^3/\Pi_x^2$  is oriented. Actually  $[\xi_1, \xi_2] \pmod{\Pi_x^2}$  depends only on the values of  $\xi_1, \xi_2$  at the point  $x$ . It is a vector  $f\xi_3 \pmod{\Pi_x^2}$  for some  $f$ . So if we require  $\xi_2 = J\xi_1$  then  $\xi_3$  can be chosen so that  $f > 0$ . This produces a coorientation on  $\Pi_x^2 \subset \Pi_x^3$  and then via  $J$  a coorientation on  $\Pi_x^3 \subset T_xM$ .

Moreover the requirement  $f = 1$  determines canonically vector field  $\xi_1$  (still however up to  $\pm 1$ ) and hence  $\xi_2 = J\xi_1$ . Then we set  $\xi_3 = [\xi_1, \xi_2]$  and  $\xi_4 = J\xi_3$ . So the pair  $(\xi_1, \xi_2)$  is defined canonically up to a sign and the pair  $(\xi_3, \xi_4)$  is absolutely canonical. The following statement generalizes theorem 7 [K1]:

**Theorem 1.** *Let almost complex structure  $J$  be of general position. Then at a generic points  $x \in M^4$  the canonical frame  $(\xi_1, \xi_2, \xi_3, \xi_4)$  is defined. It restores uniquely the almost complex operator  $J$  and the tensor  $N_J$  by the tables:*

$X$	$JX$	$N_J(\uparrow, \leftarrow)$	$\xi_1$	$\xi_2$	$\xi_3$	$\xi_4$
$\xi_1$	$\xi_2$	$\xi_1$	0	0	$\xi_1$	$-\xi_2$
$\xi_2$	$-\xi_1$	$\xi_2$	0	0	$-\xi_2$	$-\xi_1$
$\xi_3$	$\xi_4$	$\xi_3$	$-\xi_1$	$\xi_2$	0	0
$\xi_4$	$-\xi_3$	$\xi_4$	$\xi_2$	$\xi_1$	0	0

Note that reducing a geometric structure to a frame ( $\{e\}$ -structure) solves completely the equivalence problem. The idea is as follows. Consider the moduli of the problem, i.e. functions  $c_{jk}^i$  given by the formula  $[\xi_j, \xi_k] = \sum c_{jk}^i \xi_i$ . Denote by  $\mathbb{A} = \{c_{jk}^i\}$  the space of all invariants and by  $\Phi : M \rightarrow \mathbb{A}$  the "momentum map"  $x \mapsto \{c_{jk}^i(x)\}$ . Then two equivalent structures have the same images and the equivalence follows. See [S] for more details.

## 2. Singularities of a Nijenhuis tensor characteristic distribution

A distribution  $V = V_1$  is called completely non-holonomic if one of its successive derivatives  $V_i = \partial V_{i-1}$  equals the whole tangent bundle  $TM$  and the minimal such  $i = r$  is called the degree of non-holonomy (can vary from point to point). The growth vector of a distribution at a point  $x \in M$  is the sequence of the dimensions  $(\text{rk}_x V_1, \dots, \text{rk}_x V_r(x))$ .

Generically a Nijenhuis tensor characteristic distribution is completely non-holonomic outside a discrete subset in  $M$ . In an open dense set the growth vector is  $(2, 3, 4)$ . Then it is an *Engel distribution*, which has the following local normal form ([E]):

$$\Pi^2 = \langle \xi_1 = \partial_3, \xi_2 = \partial_4 - x_3 \partial_2 - x_2 \partial_1 \rangle; \quad \partial_i := \partial / \partial x_i.$$

Locally this  $\Pi^2$  can be realized as a Nijenhuis tensor characteristic distribution ([K2]). In fact, consider two transversal symmetries of the distribution:  $\eta_1 = \partial_1, \eta_2 = \partial_2 - x_4 \partial_1$ . Define the almost complex structure by the formula

$$J\xi_1 = \varphi\xi_2, J\eta_1 = \eta_2; \quad \varphi \neq 0. \quad (2)$$

Then one easily checks that  $\text{Im } N_J = \Pi^2$  whenever  $(\partial_{\eta_1} \varphi)^2 + (\partial_{\eta_2} \varphi)^2 \neq 0$ .

Moreover the following statement holds:

**Proposition 2.** *Let  $\Pi$  be an analytic distribution of rank 2 in  $R^4$ . Then it can be locally realized as a Nijenhuis tensor characteristic distribution.*

**Proof.** Let  $\Pi^2$  be generated by  $\xi_1 = \partial_3$  and  $\xi_2 = \partial_4 + h_1 \partial_1 + h_2 \partial_2$ . A pair of generators can always be chosen in such a form. Consider  $\xi_2$  as a vector field in  $\mathbb{R}^3(x_1, x_2, x_4)$  depending on a parameter  $x_3$ . It has two independent symmetries  $\eta_1, \eta_2 \in \mathcal{D}(\mathbb{R}^3)$ :  $[\eta_i, \xi_2] = 0$ . Let's differentiate these fields by the parameter:  $\partial_3 \eta_i = [\partial_3, \eta_i] = a_i^j \eta_j + b_i \xi_2$ .

Define the almost complex structure by the formula

$$J\xi_1 = \varphi\xi_2, J\eta_1 = \alpha\eta_1 + \beta\eta_2; \quad \beta, \varphi \neq 0.$$

The condition  $\text{Im } N_J = \Pi^2$  is equivalent to the following system

$$\begin{cases} \varphi \partial_{\xi_2} \alpha = \alpha \partial_{\xi_1} \alpha - \frac{1+\alpha^2}{\beta} \partial_{\xi_1} \beta + [a_1^1(1+\alpha^2) - a_1^2 \alpha \frac{1+\alpha^2}{\beta} + a_2^1 \alpha \beta - a_2^2(1+\alpha^2)] \\ \varphi \partial_{\xi_2} \beta = \beta \partial_{\xi_1} \alpha - \alpha \partial_{\xi_1} \beta + [a_1^1 \alpha \beta + a_1^2(1-\alpha^2) + a_2^1 \beta^2 - a_2^2 \alpha \beta] \end{cases}$$

and the inequality  $(\partial_{\eta_1} \varphi - b_1 \alpha - b_2 \beta)^2 + (\partial_{\eta_2} \varphi - b_1 \frac{1-\alpha^2}{\beta} + b_2 \alpha)^2 > 0$ . The system is in the Cauchy-Kovalevskaya form and so possesses a local solution. After this the inequality is arranged to hold.  $\square$

**Theorem 3.** *Nijenhuis tensors characteristic distributions in the domain of non-integrability for  $J$  have the same singularities as the usual two-dimensional distributions in  $\mathbb{R}^4$ .*

**Proof.** Let us at first define the degeneration locus of a distribution. Introduce the partial order on the growth vectors:  $(m_1, \dots, m_s) \leq (n_1, \dots, n_r)$  iff  $s \geq r$  and  $m_i \leq n_i$  for  $i = 1, \dots, r$ . Fix one growth vector  $I$ . Then the degeneration locus  $\Sigma_I \subset M$  is the set of points with the growth vector less or equal to  $I$ . Proposition 2 (it holds formally as well – on the jets of the structure) and the Thom transversality theorem imply that for a typical  $J$  the sets  $\Sigma_I$  are nice subvarieties, stratifying the manifold  $M$ . The statement follows.  $\square$

The generic degenerations of two-plane fields in  $\mathbb{R}^4$ , up to codimension 3, were classified by Zhitomirskii [Z]. Let us show how generic codimension 2 singularities are realized as a Nijenhuis tensor characteristic distribution.

There are 2 different types of such singularities, defined by the growth vectors  $I_1 = (2, 2, 4)$  and  $I_2 = (2, 3, 3, 4)$ . All other growth vectors are subordinated to these two and hence the singular set is

$$\Sigma = \Sigma_1^2 \cup \Sigma_2^2, \quad \Sigma_i^2 = \Sigma_{I_i}.$$

Generically the loci  $\Sigma_i^2$  are smooth 2-dimensional submanifolds ( $[Z]$ ), which intersect non-transversally along a curve  $\Sigma_1^1$ . There is also a curve  $\Sigma_2^1 \subset \Sigma_2^2$  separating the locus into the elliptic/hyperbolic parts  $\Sigma_{2\pm}^2$ .

The codimension 2 loci of  $\Pi^2 = \langle \xi_1, \xi_2 \rangle$  have the following normal forms:

$$\begin{aligned} \Sigma_1^2 \setminus \Sigma_1^1 : \quad & \xi_1 = \partial_3, \xi_2 = \partial_4 - x_3 x_4 \partial_2 - x_3^2 \partial_1 \\ \Sigma_{2+}^2 : \quad & \xi_1 = \partial_3, \xi_2 = \partial_4 - \left(\frac{1}{3}x_3^3 + x_3 x_4^2\right) \partial_2 - x_3 \partial_1 \\ \Sigma_{2-}^2 : \quad & \xi_1 = \partial_3, \xi_2 = \partial_4 - x_3^2 x_4 \partial_2 - x_3 \partial_1 \end{aligned}$$

In each of these cases the choice  $\eta_1 = \partial_1, \eta_2 = \partial_2$  and formula (2) will lead to realization  $\Pi^2 = \text{Im } N_J$ . The cases of higher degenerations are studied similarly.

### 3. Singularities of $\Pi = \text{Im } N_J$ as of a differential system

As differential systems Nijenhuis tensors characteristic distributions have singularities different from those of the usual differential systems in  $\mathbb{R}^4$ : The rank of a Nijenhuis tensor characteristic distribution is even and so is 2 or 0.

**Proposition 4.** *For a generic structure  $J$  the set, where  $N_J = 0$  (the rank of  $\Pi$  falls to zero), is a discrete set  $\Sigma^0 \subset M^4$ . For each point of  $\Sigma^0$  there is a centered coordinate neighborhood  $(x_1, y_1, x_2, y_2)$  around it such that the almost complex structure is given by the formula*

$$J\partial_{x_i} = \alpha_i \partial_{x_i} + (1 + \beta_i) \partial_{y_i}, \quad J\partial_{y_i} = -\frac{1 + \alpha_i^2}{1 + \beta_i} \partial_{x_i} - \alpha_i \partial_{y_i}, \quad i = 1, 2,$$

where the functions  $\alpha_i, \beta_i$  are of the second order of smallness at the origin.

**Proof.** Singularities of the differential system  $\Pi = \text{Im } N_J$  are given by the vector equation  $N_J(\xi, \eta) = 0$  for some  $J$ -independent vector fields  $\xi, \eta$ , and so are generically isolated points given by the integrability condition  $N_J = 0$ .

To get the other claim recall ([K1]) that an almost complex structure can be approximated by a complex structure to the second order of smallness at the integrability points. Let  $(w_1, w_2)$  be the corresponding complex coordinates. By a theorem of Nijenhuis and Woolf [NW] (see also proposition 9 below) there are two  $J$ -holomorphic foliations by disks  $C^1$ -close to the foliations  $\{w_i = \text{const}\}$  at the origin,  $i = 1, 2$ . Let  $z_1$  be a complex coordinate on the disk of the first family passing the origin and  $z_2$  — on the second. They define the complex coordinate system  $(z_1, z_2)$  in a neighborhood of the origin with the required properties.  $\square$

**Remark 1.** For  $\dim M > 4$  the set, where  $N_J = 0$ , is generically empty.

Let  $\alpha_i^\circ, \beta_i^\circ$  be the quadratic parts of  $\alpha_i, \beta_i$ . Using the coordinate system from proposition 4 we calculate:  $\Pi^2 = \text{Im } N_J = \langle \xi_1, \xi_2 = J\xi_1 \rangle$ , where linearizations of the generators at the origin are

$$\xi_1^0 = \left(-\frac{\partial\beta_1^\circ}{\partial x_2} - \frac{\partial\alpha_1^\circ}{\partial y_2}\right)\partial_{x_1} + \left(\frac{\partial\alpha_1^\circ}{\partial x_2} - \frac{\partial\beta_1^\circ}{\partial y_2}\right)\partial_{y_1} + \left(\frac{\partial\beta_2^\circ}{\partial x_1} + \frac{\partial\alpha_2^\circ}{\partial y_1}\right)\partial_{x_2} + \left(\frac{\partial\beta_2^\circ}{\partial y_1} - \frac{\partial\alpha_2^\circ}{\partial x_1}\right)\partial_{y_2}$$

and  $\xi_2^0 = J_0\xi_1^0$  ( $J_0$  is the constant coordinate extension of  $J$  from the origin).

Thus we see that the linearization of the considered differential system is special, not as for the usual differential systems. If we consider linear vector fields  $\xi_i^0$  as linear operators, we represent the 1st order approximation of  $\Pi$  by a 2-dimensional subspace  $V^2 \subset \text{gl}(4)$ . The condition  $V^2 = \langle X_1, X_2 = JX_1 \rangle$  for some  $J^2 = -\mathbf{1}$  characterizes admissible 2-planes.

The higher order terms in  $\xi_1, \xi_2$  are special as well.

## 4. Moduli of a PH-curve neighborhood

Let  $\mathcal{C}^2$  be a pseudoholomorphic (PH-)curve, i.e. a surface with  $J$ -invariant tangent bundle. At every point  $x \in \mathcal{C}$  we have two  $J$ -invariant planes  $T_x\mathcal{C}^2$  and  $\Pi_x^2$ , which generically intersects by zero, except at a finite number of points  $\Sigma'_0 \subset \mathcal{C}$ . The sets  $\Sigma'_1 = \Sigma_1^2 \cap \mathcal{C}$  and  $\Sigma'_2 = \Sigma_2^2 \cap \mathcal{C}$  are generically finite as well. The arrangement of all these points

$$\Sigma' = \Sigma'_0 \cup \Sigma'_1 \cup \Sigma'_2 \subset \mathcal{C}$$

gives a (finite-dimensional) invariant of  $\mathcal{C}$ .

For points  $x \in \mathcal{C} \setminus \Sigma'_1$  we define field of directions  $L^1 = T\mathcal{C} \cap \Pi^3$ . The integral curves of this 1-distribution foliate the set  $\mathcal{C} \setminus \Sigma'_1$  and in general  $\mathcal{C}$  foliates with only nondegenerate singular points. Denote the number of elliptic points by  $e(L^1)$  and the number of hyperbolic points by  $h(L^1)$ . One can prove:

**Proposition 5.** Under  $C^1$ -small perturbation of the structure  $J$  the foliation  $L^1$  has minimal number of singularities:  $\min\{e(L), h(L)\} = 0$ ,  $\max\{e(L), h(L)\} = |\chi(\mathcal{C})|$ . For instance if  $\mathcal{C} = T^2$  we get a foliation without singularities.  $\square$

Due to §1 the foliation  $L^1$  is oriented, cooriented and has parallel and transverse measures outside  $\Sigma'$ . Thus there exist canonical vector fields  $v_1$  along  $L^1$  and  $v_2 = Jv_1$  transverse to it. Consequently the curve  $\mathcal{C}$  has a lot of dynamical invariants like winding classes of  $v_1$  and  $v_2$ . Moreover decomposing

$$[v_1, v_2] = \gamma_1 v_1 + \gamma_2 v_2.$$

we obtain two invariant (under pseudoholomorphic isomorphisms) functions  $\gamma_1, \gamma_2$ . These together with the germs of the functions  $c_{jk}^i$  from §1 form *moduli* of the  $\mathcal{C}$ -neighborhoods germ. They solve the equivalence problem for PH-embeddings  $\mathcal{C}^2 \rightarrow M^4$  (of general position).

**Example.** Let  $M = T^2(\varphi, \psi) \times \mathbb{R}^2(x, y)$  be equipped with the structure

$$\begin{aligned} J\partial_x &= \partial_y; & J\partial_\varphi &= \frac{2-\rho y^2}{2}\partial_\psi + \frac{y^2}{2}\partial_\varphi + x\partial_x; \\ J\partial_y &= -\partial_x; & J\partial_\psi &= \frac{4+y^4}{2\rho y^2-4}\partial_\varphi - \frac{y^2}{2}\partial_\psi + \frac{xy^2}{\rho y^2-2}\partial_x + \frac{2x}{\rho y^2-2}\partial_y, \end{aligned}$$

Then  $\mathcal{C} = \{x = y = 0\}$  is a PH-torus and the winding number of  $v_1$  is  $\rho$ . Similarly one shows the other considered invariants are non-trivial.

## 5. Hyperbolicity of a PH-curve neighborhood

In this section we consider the case of PH-tori  $\mathcal{C} = T^2$ . We assume for simplicity that the normal bundle is topologically trivial, though in general case the result is the same.

Recall that the Kobayashi pseudometric  $d_M$  measures the distance between points via pseudoholomorphic disks ([Ko, KO]). An almost complex manifold is called Kobayashi hyperbolic if  $d_M$  is a metric. Let  $\|\cdot\|$  be a norm on  $TM$ .

**Proposition 6.** *Let  $\mathcal{O}$  be a small neighborhood of a pseudoholomorphic torus  $T^2 \subset (M^4, J)$ . Then the domain  $\mathcal{O} \setminus T^2$  is not Kobayashi-hyperbolic.*

*Moreover for some constant  $C > 0$  and any  $R > 0$  there exists a smooth family of PH-disks  $f_\alpha^R : D_R \rightarrow \mathcal{O}$ , with uniformly bounded norms  $\|(f_\alpha^R)_*(z)\| \leq C$  and  $\|(f_\alpha^R)_*(0)\| = 1$ , that fills some smaller neighborhood  $\mathcal{O}' \subset \mathcal{O}$  of  $T^2$ :*

$$\mathcal{O}' \subset \cup_\alpha f_\alpha^R(D_R).$$

**Proof.** Let us take the universal covering  $\hat{\mathcal{O}} \simeq \mathbb{C} \times D^2$  of  $\mathcal{O}$ . The torus is covered by the entire line  $\mathbb{C} \rightarrow T^2$ . Changing the structure  $J$  at infinity in  $\hat{\mathcal{O}}$  and near the boundary to the integrable one we glue the manifold to the product  $S^2 \times S^2$  with the line  $\mathbb{C}$  being glued to the first factor  $S_1^2$ . Then the introduction of the taming symplectic product-structure  $\omega = \omega_1 \oplus \omega_2$  yields a foliation of  $S_1^2 \times S_2^2$  by PH-spheres  $S^2$  in the homology class of the first factor if we additionally demand that the homology class  $[S_1^2]$  of the first sphere-factor is symplectically indecomposable (for example, if  $\omega_1(S_1^2) = k\omega_2(S_2^2)$ ,  $k \in \mathbb{N}$ ). Here we use the fact that the dimension is 4: due to positivity of intersections [M1] we actually have a foliation ([M2]).

This foliation of  $S^2 \times S^2$  gives a family of big PH-disks on  $\hat{\mathcal{O}}$  parametrized by the radius  $R$  of disk in  $\mathbb{C}$  out of which the almost complex structure is changed. The estimates follow from the Brody reparametrization lemma as in [KO]. Pulling-back we get the required family.  $\square$

We now consider filling by pseudoholomorphic cylinders  $\mathcal{C}_R = [-R; R] \times S^1 \subset \mathbb{C} \setminus \{0\}$ , which is topologically different from the disk-filling (Fig.1).

**Proposition 7.** *In the statement of proposition 6 we can change disks  $D_R$  to the cylinders  $\mathcal{C}_R$  and get for every  $R > 0$  a filling family of PH-cylinders  $f_\alpha^R : \mathcal{C}_R \rightarrow \mathcal{O}$  with uniformly bounded norms and normalization  $\|(f_\alpha^R)_*(0)\| = 1$ :*

$$\mathcal{O}' \subset \cup_\alpha f_\alpha^R(\mathcal{C}_R).$$

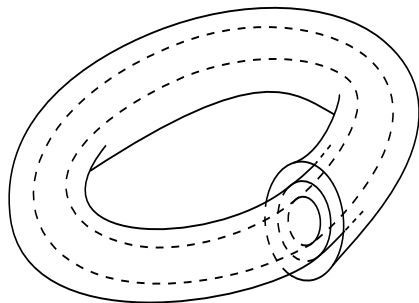


Figure 1: Filling by PH-cylinders

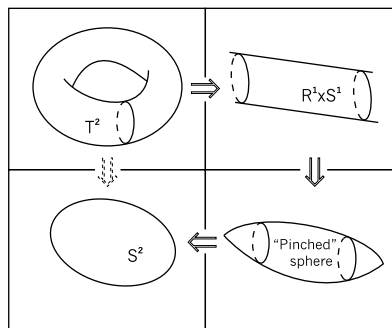


Figure 2: Cutting and Gluing

**Proof.** Actually take a covering of the neighborhood  $\mathcal{O}$  which corresponds to one cycle of the torus. The torus is covered by the entire cylinder  $\mathcal{C}_\infty \rightarrow T^2$ . We can change the almost complex structure  $J$  at infinity so that it makes possible to "pinch" each end of the cylinder. This means we perturb the structure  $J$  so that it is standard integrable outside some  $\mathcal{C}_{R_2} \subset \mathcal{C}_\infty$  and the support is also a big cylinder  $\mathcal{C}_{R_1}$ . Then we glue the ends to the disks. This operation gives us a sphere  $S^2$  instead of the cylinder  $\mathcal{C}_\infty = \mathbb{R} \times S^1$ . We can also assume that neighborhoods of two cylinder ends are pinched (Fig.2).

Thus we have a neighborhood  $U$  of the sphere  $S_0^2$ . It is foliated by PH-spheres close to  $S_0^2$ . Actually, we can change the structure  $J$  near the boundary of this neighborhood, glue and get the manifold-product  $\hat{M} = S^2 \times S^2$ . As before it is foliated by PH-spheres. Thus  $U$  is foliated by PH-spheres and in the preimage they give a PH-foliation by cylinders.  $\square$

**Remark 2.** *Neighborhoods of PH-spheres  $\mathcal{C} = S^2$  are also non-hyperbolic and if the normal bundle is topologically trivial can be foliated by close PH-spheres.*

*For PH-curves of higher genus  $\mathcal{C} = S_g^2$ ,  $g > 1$ , one expects a small neighborhood  $\mathcal{O}$  to be Kobayashi hyperbolic. If an almost complex structure  $J$  is  $C^\infty$ -close to an integrable one near  $\mathcal{C}$  this is proved in [KO].*

## 6. Arnold's question

In [A2](1993-25) Arnold asks about almost complex version for his Floquet-type theory of elliptic curves neighborhoods ([A1]) in the spirit of the Moser's KAM-type theorem ([Mo]). Namely he asks if a germ of neighborhood  $\mathcal{O}$  of a PH-torus  $\mathcal{C} = T^2 \subset (M^4, J)$  is determined by its normal bundle  $N_{\mathcal{C}}M$ .

The following result is a direct consequence of the definition:

**Proposition 8.** *If  $F : M^4 \rightarrow \mathcal{C}^2$  is a (local) PH-surjection and the structure  $J$  is non-integrable, then the Nijenhuis tensor characteristic distribution  $\Pi^2$  is integrable and is tangent to the fibers of  $F$ .*  $\square$

Thus there is a functional obstruction to the equivalence of the  $\mathcal{C}$ -germ in  $M^4$  and of the  $\mathcal{C}$ -germ in the normal bundle (we do not discuss here the normal bundle: If  $\dim M = 4$ , the almost complex structure on  $N_{\mathcal{C}}M$  can be obtained via linearization along a family of transversal PH-disks; For the general case see [K3]). Integrability and transversality of  $\Pi^2$  to the torus  $\mathcal{C}$  is a necessary, but by no means sufficient condition for the existence of an equivalence: There are other functional moduli.

In search of a proper generalization of the Arnold's result we notice that a neighborhood of an elliptic curve in a complex surface is foliated by half-infinite cylinders: They are given as  $|z| = \text{const}$  in the representation of the neighborhood as  $\mathbb{C}^2(z, w)/(z, w) \sim (z + 2\pi, w) \sim (z + \nu, \lambda w)$ , where  $\nu \in \mathbb{C} \setminus \mathbb{R}$  and  $\lambda \in \mathbb{C} \setminus \{0\}$  (see [A1] for the representation). The hypothesis is then that for a non-integrable perturbation  $J$  of the complex structure  $J_0$ , most of the cylinders persist (as in the Moser's theory).

Let us sketch how to prove existence of one such a half-cylinder. In proposition 7 we have constructed a pre-compact family of finite cylinders  $f_{\alpha}^R$  for different  $R$ . If it winds up to the curve  $\mathcal{C}$  (as in the holomorphic normal form with  $|\lambda| \neq 1$ ), then one can extract a subsequence  $f_{\alpha_k}^{R_k}$  with  $R_k \rightarrow \infty$  converging to a pseudoholomorphic curve due to the standard technique ([G, MS]). This is the required half-cylinder.

There are no tools however to complete this construction to a PH-foliation (also a filling is problematic – a remark of V. Bangert). Note though that even if we construct a foliation, it is not necessary so nice as its holomorphic original. To explain this let us notice the following fact, which is a corollary of a theorem by Nijenhuis and Woolf [NW]:

**Proposition 9.** *Small neighborhood  $\mathcal{O}$  of a PH-curve  $\mathcal{C} \subset M^4$  can be foliated by transversal PH-disks  $D^2$ .*  $\square$

Now consider a neighborhood of a PH-curve  $\mathcal{C}$  with topologically trivial normal bundle and suppose we have a foliating family  $f_{\alpha} : \mathcal{B} \rightarrow \mathcal{O}$  with unbounded or compact leaves in it. Let  $D_{\varphi}$ ,  $\varphi \in \mathcal{C}$ , be the family of normal disks from proposition 9. Then every path  $\gamma(t)$  on  $\mathcal{C}$  with  $\gamma(0) = \varphi_0$ ,  $\gamma(1) = \varphi_1$  gives a mapping  $\Phi_{\gamma} : D_{\varphi_0} \rightarrow D_{\varphi_1}$  of shift along the leaves of  $f_{\alpha}$ . For a loop  $\gamma$  we have an automorphism of  $D_{\varphi}$ . Since  $f_{\alpha}$  is a foliation there is no local holonomy:  $\Phi_{\gamma} = \text{id}$  for contractible loops  $\gamma$ . Thus we can consider the map  $\pi_1(\mathcal{C}) \rightarrow \text{Aut}(D_{\varphi})$ .



**Definition 2.** We call  $\Phi_\gamma \in \text{Aut}(D_\varphi)$  the monodromy map along  $\gamma \in \pi_1(\mathcal{C})$  and  $\Phi_\gamma : D_{\varphi_0} \rightarrow D_{\varphi_1}$  the transport map.

For example there is no monodromy for the sphere  $\mathcal{C} = S^2$  and each choice of local coordinates in a normal disk  $D_{\varphi_0}$  gives coordinates for the others  $D_\varphi$ .

Let now  $\mathcal{C} = T^2(2\pi, \nu)$  and we have a foliating family  $f_\alpha$  of half-infinite cylinders. Since every leaf  $\mathcal{B}$  is a cylinder, there is no monodromy along one generating cycle. Normalize it to be the cycle  $\varphi \mapsto \varphi + 2\pi$ . Denote by  $\Phi_\nu$  the monodromy along the other cycle  $\varphi \mapsto \varphi + \nu$ .

Unlike the complex case, the almost complex monodromy can be non-holomorphic mapping of the fibers: It is possible to construct examples of PH-foliations with any prescribed monodromy  $\Phi_\nu$ .

Moreover even if the monodromy is complex, the transport maps  $\Phi_\gamma : (D_{\varphi_0}, J) \rightarrow (D_{\varphi_1}, J)$  can be non-complex. In fact there are functional obstructions for the transports to be complex:

**Theorem 10.** Let  $\mathcal{C}$  be a PH-curve in a 4-dimensional manifold  $(M, J)$  and let  $f_\alpha : \mathcal{B} \rightarrow \mathcal{O}$  be a local PH-foliating family in some neighborhood  $\mathcal{O}$  of  $\mathcal{C}$ . Then if all transport maps  $\Phi_\gamma$  are holomorphic, then the Nijenhuis tensor characteristic distribution  $\Pi^2$  is integrable and is tangent to the leaves of  $f_\alpha$ .

Actually this is because the foliation provides a local bundle  $\pi : \mathcal{O} \rightarrow D_\varphi$  and so proposition 8 apply. Again the integrability is not a sufficient condition: There are other moduli.

So we see that existence of foliating PH-family with complex transports (as in the original holomorphic case) is generically obstructed, and the obstructions are of the same nature as for the existence of equivalence between a germ of a neighborhood of a PH-curve  $\mathcal{C}$  and its normal bundle (though in the first case the Nijenhuis tensor characteristic distribution is tangent to the curve  $\mathcal{C}$ , while in the second one it is transversal).

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